

Katherine Elizabeth Moore

1602 Seminole Road, Jacksonville, FL 32205 · (321) 446-5072 · kemoore1602@gmail.com

Education/Certifications

- Certified Compliance and Ethics Professional (CCEP)** May 2023
Society of Corporate Compliance and Ethics
- Certified Clery Compliance Officer (CCO)** Jul 2019
National Association of Clery Compliance Officers and Professionals (NACCOP)
- Master of Education; Educational Leadership** Apr 2017
University of North Florida. Concentration: Higher Education Administration
- Bachelor of Arts** Jul 2010
University of North Florida. Major: Spanish, Minor: Professional Education

Experience

University of North Florida – *Chief Compliance and Ethics Officer* Jan 2025-Present

- Serves as the institutional expert and point of contact on all compliance and ethics matters, in accordance with Florida Board of Governors Regulation 4.003.
- Works with the UNF Board of Trustees, University President, and senior leadership to implement, refine, and test the institutional compliance and ethics program.
- Frequently communicates with broad constituents, including the UNF Board of Trustees, university leadership, community partners, and federal regulatory agencies on a broad range of compliance and ethics topics.
- Oversees the policy and regulation process for UNF, including promulgating the Policy on Policies, and ensuring appropriate checks and balances are in place.
- Administers for the ethics program on behalf of the university. This includes offering ethics consultations, gift advice, conflicts of interest and commitment assessments, and overseeing Form 1 filing for the institution.

University of Florida – *Deputy Chief Compliance Officer* Mar 2022-Dec 2025

- Leads the management and oversight of compliance programs within UF Office of Compliance and Ethics, including Title IX, Policy, Clery Act, ADA, Youth Compliance, Foreign Influence, Ethics, and the Compliance Hotline.
- Serves as the backup to the Chief Compliance Officer in all official roles, including attending and presenting at leadership meetings, all Board of Trustees meetings, liaising with the BOG, and special sessions as necessary.
- Frequently communicates with Board of Trustees, university leadership, members of the University of Florida, and external stakeholders on a broad range of compliance and ethics topics.
- Prepares deliverables for university leadership and Board of Trustees review, including compliance program annual report, Clery Act reporting, Foreign Influence reporting, compliance program annual workplan, program plan, compliance office charter, and other compliance program foundational documents.
- Serves as coordinator and point-of-contact for responding to university data requests made by various State and Federal regulators and oversight agencies.
- Directs the university policy process, including authoring the Policy on Policies and offering policy consultations and advice for all new and amended policies. Initiated a multi-year project to align UF and UF Health enterprise-wide policies.

- Administers the university's compliance hotline in partnership with leadership. Drafted and implemented a new referral, tracking and root cause analysis process for complaints made through the hotline.

University of Florida – *Interim Chief Compliance, Ethics, and Privacy Officer* *Feb 2024-May 2024*

- Oversaw compliance program at the largest public land-grant university in the State of Florida, including 55 enterprise-wide compliance partner programs and a large healthcare system.
- Ensured that university leadership and Board of Trustees were knowledgeable about each program to exercise appropriate oversight under Federal and State law.
- Presented high-level reports, regulatory updates, educational items, program plan and foundational document updates and compliance risk-mitigation efforts to the Board of Trustees and university leadership quarterly.
- Managed staff of 15 including Compliance and Ethics, Privacy, and Conflicts of Interest, Clery, Title IX, Youth Compliance, and ADA teams.
- Devised and implemented strategies focused on mitigating and managing compliance risk, which included newly created compliance risk matrices for all compliance areas, and partnering with internal audit function to ensure complimentary second and third line of defense processes are functioning as intended.

University of Florida – *Assistant Director, Office of Clery Act Compliance* *Nov 2019-Mar 2022*

- Managed the largest Clery Act compliance program at a public university, with 39 designated separate campus locations.
- Served as institutional subject matter expert for all matters related to Clery Act compliance and associated operational areas.
- Created and oversaw production and distribution of annual security reports, including 39 Clery Act campus locations, to the university community and public annually.
- Cultivated relationships with key functional partners across the enterprise to ensure connection, redundancy, and risk-mitigation.

University of Florida – *Clery Act Compliance Coordinator* *Oct 2017-Nov 2019*

- Oversaw project management of new Clery Act compliance training program for an audience of over 5,000 mandatory reporters.
- Conducted compliance review in 2018, which highlighted several opportunities for gap mitigation. Presented to senior leadership and enacted remediation plan.
- Undertook large-scale project to reassess Clery Act geography for the university. This resulted in the creation of interactive campus maps for all 39 campus locations.
- Achieved Certified Clery Compliance Officer (CCO) designation as part of an initial cohort.

University of North Florida – *International Student Advisor* *Oct 2015-Oct 2017*

- Served as Student and Exchange Visitor (SEVIS) point-of-contact for the institution, which involved entering immigration data for official documents with Immigration and Customs.
- Engaged in a variety of programming designed to support international students, help them connect with the campus, and enrich their exchange experiences.
- Supported international students, both exchange visitors and degree-seeking, in entire process of applying to the institution, processing immigration documents, and served as academic and student success advisor upon arrival to the institution.